

Title	On the domain of a Schrödinger operator with complex potential : Old and New (Tosio Kato Centennial Conference)
Author(s)	Helffer, Bernard
Citation	数理解析研究所講究録 = RIMS Kokyuroku (2018), 2074: 11-13
Issue Date	2018-07
URL	http://hdl.handle.net/2433/242035
Right	
Type	Departmental Bulletin Paper
Textversion	publisher

On the domain of a Schrödinger operator with complex potential – Old and New –

Bernard Helffer

Nantes University Emeritus Professor.

Centennial Kato's conference in Tokyo, September 2017

The aim of this talk is to review and compare the spectral properties of (the closed extension of) $-\Delta + U$ ($U \geq 0$) and $-\Delta + iV$ in $L^2(\mathbb{R}^d)$ for C^∞ potentials U or V with polynomial behavior.

The case with magnetic field is also considered. More precisely, we would like to compare the criteria for:

- essential selfadjointness (**esa**) or maximal accretivity (**maxacc**)
- Compactness of the resolvent.
- Maximal inequalities,

for these operators.

By L^2 -maximal inequalities, we mean the existence of $C > 0$ s. t.

$$\|u\|_{H^2}^2 + \|Uu\|_{L^2}^2 \leq C \left(\|(-\Delta + U)u\|_{L^2}^2 + \|u\|_{L^2}^2 \right), \quad \forall u \in C_0^\infty(\mathbb{R}^d), \quad (0.1)$$

or

$$\|u\|_{H^2}^2 + \|Vu\|_{L^2}^2 \leq C \left(\|(-\Delta + iV)u\|_{L^2}^2 + \|u\|_{L^2}^2 \right), \quad \forall u \in C_0^\infty(\mathbb{R}^d). \quad (0.2)$$

We will also discuss the magnetic case:

$$P_{\mathbf{A},V} = -\Delta_A + W := \sum_{j=1}^d (D_{x_j} - A_j(x))^2 + W(x),$$

(with $W = U + iV$) and the notion of maximal regularity is expressed in terms of the magnetic Sobolev spaces:

$$\begin{aligned} & \| (D - \mathbf{A})u \|_{L^2(\mathbb{R}^d, \mathbb{C}^d)}^2 \\ & + \sum_{j,\ell} \| (D_j - A_j)(D_\ell - A_\ell)u \|_{L^2(\mathbb{R}^d)}^2 \\ & + \| |W|u \|_{L^2(\mathbb{R}^d)}^2 \\ & \leq C \left(\|P_{\mathbf{A},W}u\|_{L^2(\mathbb{R}^d)}^2 + \|u\|_{L^2(\mathbb{R}^d)}^2 \right), \end{aligned} \quad (0.3)$$

The question of analyzing $-\Delta + iV$ or more generally $P_{\mathbf{A},iV} := -\Delta_A + iV$ appears in many situations:

- Time dependent Ginzburg-Landau theory leads for example to the spectral analysis of

$$D_x^2 + (D_y - \frac{x^2}{2})^2 + iy$$

Here $\text{curl } \mathbf{A} = x$ vanishes along a line.

- Control theory
- Bloch-Torrey (complex Airy) equation

$$-\Delta + ix$$

- Spectral analysis of the complex harmonic oscillator.

Moreover, in some of the applications, V does not satisfy necessarily a sign condition $V \leq 0$ as for dissipative systems.

After reviewing all the main results devoted to this question in the selfadjoint case, we will show that similar results can be proved in the case of a complex potential. These recent results have been obtained in collaboration with Y. Almog and J. Nourrigat.

Below, we give a selected non exhaustive bibliography.

References

- [1] Y. Almog, D. Grebenkov, and B. Helffer. On a Schrödinger operator with a purely imaginary potential in the semiclassical limit. ArXiv (2017).
- [2] Y. Almog and B. Helffer. On the spectrum of non-selfadjoint Schrödinger operators with compact resolvent. Comm. in PDE 40 (8) (2015), pp. 1441–1466.
- [3] P. Auscher and B. Ben Ali. Maximal inequalities and Riesz transform on L^p space for Schrödinger operators with non negative potentials. Ann. Inst. Fourier 57 (6) (2007) 1975–2013.
- [4] Y. Avron, I. Herbst, and B. Simon. Schrödinger operators with magnetic fields I. General interactions. Duke Math. J. 45 (4), p. 847–883 (1978).
- [5] D. Guibourg. Inégalités maximales pour l'opérateur de Schrödinger. CRAS 316 (1993), 249–252.
- [6] B. Helffer and A. Mohamed. *Sur le spectre essentiel des opérateurs de Schrödinger avec champ magnétique.* Ann. Inst. Fourier 38(2), pp. 95–113 (1988).
- [7] B. Helffer and J. Nourrigat. *Hypoellipticité Maximale pour des Opérateurs Polynômes de Champs de Vecteurs.* Progress in Mathematics, Birkhäuser, Vol. 58 (1985).
- [8] B. Helffer and J. Nourrigat. On the domain of a magnetic Schrödinger operator with complex electric potential. In preparation.

- [9] T. Ikebe and T. Kato. Uniqueness of the self-adjoint extension of singular elliptic differential operators. Arch. for Rat. Mech. and Anal. 9 (1962), 77–92.
- [10] A. Iwatsuka. Magnetic Schrödinger operators with compact resolvent. J. Math. Kyoto Univ. 26, p. 357-374 (1986).
- [11] Z. Shen. Estimates in L^p for magnetic Schrödinger operators. Indiana Univ. Math. J., 45 (1996), pp. 817-841.
- [12] B. Simon. Tosio Kato's work on non-relativistic quantum mechanics. This conference.